

Research Ethics and Integrity Framework

This framework lays out the minimum expectation for research activity at the University. Schools may apply additional or more rigorous approaches should their specific circumstances require more robust measures. The framework should be read in conjunction with

- University Research Ethics and Integrity Policy
(<http://www.hud.ac.uk/research/strategyandpolicy/concordattosupportresearchintegrity/>)
- University Code of Practice for Research
(<http://www.hud.ac.uk/research/strategyandpolicy/concordattosupportresearchintegrity/>)
- Terms of reference for:
 - University Research Ethics and Integrity Committee (UREIC)
 - University Research Group (URG)
 - School Research Committees
 - School Research Ethics Committees

UREIC is a sub-committee of the Senate and has overall responsibility for the management of research ethics and integrity. It advises on broad strategies for ethics and integrity and monitors the University's overall performance rather than considering individual matters such as research proposals.

Each member of staff or student involved in research is responsible for complying with the University's Ethics and Integrity Policy and Code of Practice for Research.

It is the responsibility of the Dean of School to ensure that appropriate consideration is given to ethical and integrity issues which arise from research activity proposed by staff or students in the School. School Associate Deans Research and Enterprise (ADREs) are responsible for issues related to research integrity and maintain an overview of this via the School Research Committee.

School ADREs are members of URG which makes a formal report from each meeting to UREIC.

Responsibility for the ethical review and research project approval process is devolved to School Research Ethics Committee which reports via a standing item to the School Research Committee.

While the same standards for ethical practice apply across all research conducted at the University, the systems for ethics review should be contextualised for each School in order to be sensitive to differences in research type, context and method. Schools should ensure that the processes and procedures as they apply in the School are fully codified, made accessible and conform to the expectations of the UKRIO checklist as contained in the University's Code of Practice for Research.

School Research Ethics Committee

Each School is required to constitute a School Research Ethics Committee (SREC) which reports to the School Board, possibly via the School Research Committee. The SREC is responsible for implementing the University's policies and procedures in relation to research governance, ensuring that all research carried out in the School is reviewed for ethical standards. As such, its terms of reference should include:

- operational responsibility for the implementation of the University's policies and procedures in relation to research ethics
- ensuring that staff and students have appropriate training in the ethical conduct of research – including health and safety issues
- establishing and disseminating procedures for the ethics approval process
- ensuring that relevant guidance and forms are readily available
- establishing review mechanisms for research projects to ensure continued compliance with the ethical approval process
- submission of minutes and reports on its activities, as required, to the UREIC.

In adapting these terms of reference to suit its own context, each School should ensure that the Committee meets at least once per term and has an agreed membership (with a minimum quoracy) that reflects the expertise and breadth of experience that is required to provide comprehensive and rigorous review.

SREC minutes are submitted to UREIC.

Ethical approval

SRECs are responsible for establishing an appropriate application form to be completed for all research projects which lays out the potential areas for ethical consideration. Sample templates are attached in the appendices which Schools can adapt to suit their context – Schools may prefer to design one for use by taught students and one for use by staff and PGR students. The form should be accompanied by all relevant information as suggested on the template.

Research proposals that include staff from more than one School should be submitted to the School of the person named as the main supervisor (in the case of a student) or the lead researcher (in the case of staff teams).

SRECs must establish a suitable independent and robust route for the ethical review of research proposals. Anyone involved in the conduct of the research should be excluded from consideration of the application. The specific process for submitting an application is likely to differ between Schools or subject areas but is likely to reflect the following considerations when assessing potential risk (see flow diagram in Appendix 1):

- *undergraduate and taught postgraduate projects:* the academic member of staff acting as the project supervisor may be authorised to approve the application. However, such

proposals will require additional consideration in cases where risk is identified (see below on level of risk)

- *projects proposed by PGR students and staff*: option for applications with a category of risk defined as 'no specific risk' to be confirmed by an appropriate signatory as determined by the School (such as a Subject Leader or the DoGE)
- *projects proposed by PGR students and staff*: option for applications with a category of risk defined as 'limited' to be approved at the level of Head of Department (or equivalent) and notified to the SREC
- *projects proposed by PGR students and staff*: option for applications with a category of risk defined as 'significant' to be approved at the level of SREC. It is likely that the Committee will arrange for consideration of applications by at least two appropriate reviewers who submit their recommendations to the Committee.
- *projects which cannot be resolved by SREC*: if SREC cannot reach a consensus then the project should be submitted for consideration by UREIC

Definition of 'no specific risk', 'limited risk' and 'significant risk':

1. No specific risk: in general, a research project can be taken to have no specific ethical risks where the response to the following questions is 'no':
 - Does the project have direct contact with human/animal participants?
 - Does it involve access to identifiable personal data for living individuals not already in the public domain?
 - Is there a danger of physical or psychological harm for researcher(s) or subject(s)?
 - Does it involve research into potentially sensitive areas?
 - Does it use of students as research assistants?
 2. Limited risk: in general, a research project can be taken to have low ethical risks where it involves one or more of the criteria identified in 1 above, but does not involve:
 - covert information gathering or deception
 - children under 18 or subjects who may unable to give fully informed consent *
 - prisoners or others in custodial care (e.g. young offenders)
 - significantly increased danger of physical or psychological harm for researcher(s) or subject(s), either from the research process or from publication of research findings
 - joint responsibility for the project with researchers external to the University.
- * Schools are at liberty to confirm that research projects which involve children under 18 should fall under the 'limited risk' category provided no other criteria in this paragraph apply.
3. Significant risk: in general, a research project can be taken to have high ethical risks where it involves one or more of the criteria identified in 2 above.

Ethical approval must be secured before any data collection involving human participants can commence.

Security Sensitive data

Research that involves accessing security sensitive materials will require ethical approval of at least school and potentially University level approval. This material could be accessed easily and securely by researchers, but would not be transmitted or exchanged. Security sensitive materials are confirmed as research:

- commissioned by the military
- commissioned under an EU security call
- which involves the acquisition of security clearances
- concerns terrorist or extreme groups

The University has put systems and procedures in place that are aligned with the Universities UK guidance for the storage of security-sensitive research material, see Universities UK: (October 2012) Oversight of security-sensitive research material in UK universities: guidance

<http://www.universitiesuk.ac.uk/policy-and-analysis/reports/Pages/oversight-of-security-sensitive-research-material-in-uk-universities.aspx>

Chairs of SRECs should be a first, or early, point of contact for enquiries about security-sensitive material associated with a university or a university staff or student member.

When SRECs have approved a security sensitive project the Chairs must notify UREIC, Research and Enterprise and Computing and Library Services. This ensures that appropriate data storage and access facilities are formally made available to researchers and that independent oversight is established for the duration of the research project. A common form for all Schools (Appendix 7a) is utilised for notification purposes and signed off by the SREC Chair. Researchers are also required to sign a declaration (Appendix 7b) associated with acceptable use of the data store facility.

UREIC are provided with a register of approved security sensitive projects, which is maintained by Research and Enterprise, at each meeting.

Outcomes of the approval process

When considering the ethical implications of a project, the body or person undertaking the review should conclude one or (where appropriate) a combination of the following outcomes. Schools should ensure a prompt and written notification of the outcome to the applicant.

Approval: project to proceed with no change

Approval with recommendation(s): the project is approved but the applicant may wish to consider recommendations made by the reviewing body/person. No further consideration for approval is required

Approval with conditions: the project cannot go ahead until the identified revisions have been made and confirmed as approved by the reviewing body

Further information is required: if the reviewing body feels that the applicant has not included sufficient detail to allow an informed judgement to be made, the applicant should be requested to supply clarification/additional evidence in support of the case

Not approved: the project cannot proceed. The reviewing body must give a full explanation of the reasons for this decision

No decision: this indicates that the project will need to be reviewed by the next step in the process of approval.

If an application is not approved as a result of an initial ethics review, the researcher may appeal against that decision by submitting a request for review to the next step in the process of approval.

Once approved, it is the responsibility of the researcher to ensure that the research is carried out in compliance with the terms of approval. If changes are made to the project after approval has been granted and those changes would have merited mention on the initial application, the researcher must inform the reviewing body which approved the initial application. That body will then advise the researcher on the required course of action.

Monitoring

UGT and PGT research

Confirmation of compliance with the research ethical process is managed via a statement in the submitted project to explain why ethical approval was not required or to confirm that the project was completed in accordance with the ethical approval that had been previously granted.

PGR research

Confirmation of compliance with the research ethical process is managed via the Progression Monitoring exercises. The exercise includes a specific requirement for the student to reflect on ethical approval as part of the submitted report – either explaining why ethical approval was not required or how the project was completed in accordance with the ethical approval that had been previously granted.

Staff research

Confirmation of compliance with the research ethical process is managed via the annual individual research audit linked to appraisal which is completed by all academic staff. Staff are expected to confirm compliance with the University policy and procedures and sign the audit document accordingly. They are also expected to include a summary of the ethical approvals associated with their portfolio of research projects, either explaining why ethical approval was not required or how the project was completed in accordance with the ethical approval that had been previously granted.

UREIC will prepare annual report which outlines the University's compliance with the Concordat to Support Research Integrity.

Training and Development

The University will offer training and briefing sessions, co-ordinated and facilitated by the Research and Enterprise Office in collaboration with the Staff Development Office.

Guidance and support will be provided by the University through the provision of guidance notes and web-based resources that can be accessed via links on the Research and Enterprise webpages or UniLearn as appropriate.

SRECs are responsible for ensuring that School-based ethics webpages include as a minimum the following information tailored where necessary to the context of the School:

- Statement on the importance research governance and an overview as to how it is managed in the School
- Named contact for School Research Integrity Champion for School staff and students
- SREC membership and ToR
- Procedures, forms, guidance
- Reference web links

Research Misconduct

The procedure for dealing with suspected research misconduct can be found in the University Policy on Research Misconduct and relevant Staff or Student Disciplinary procedures.

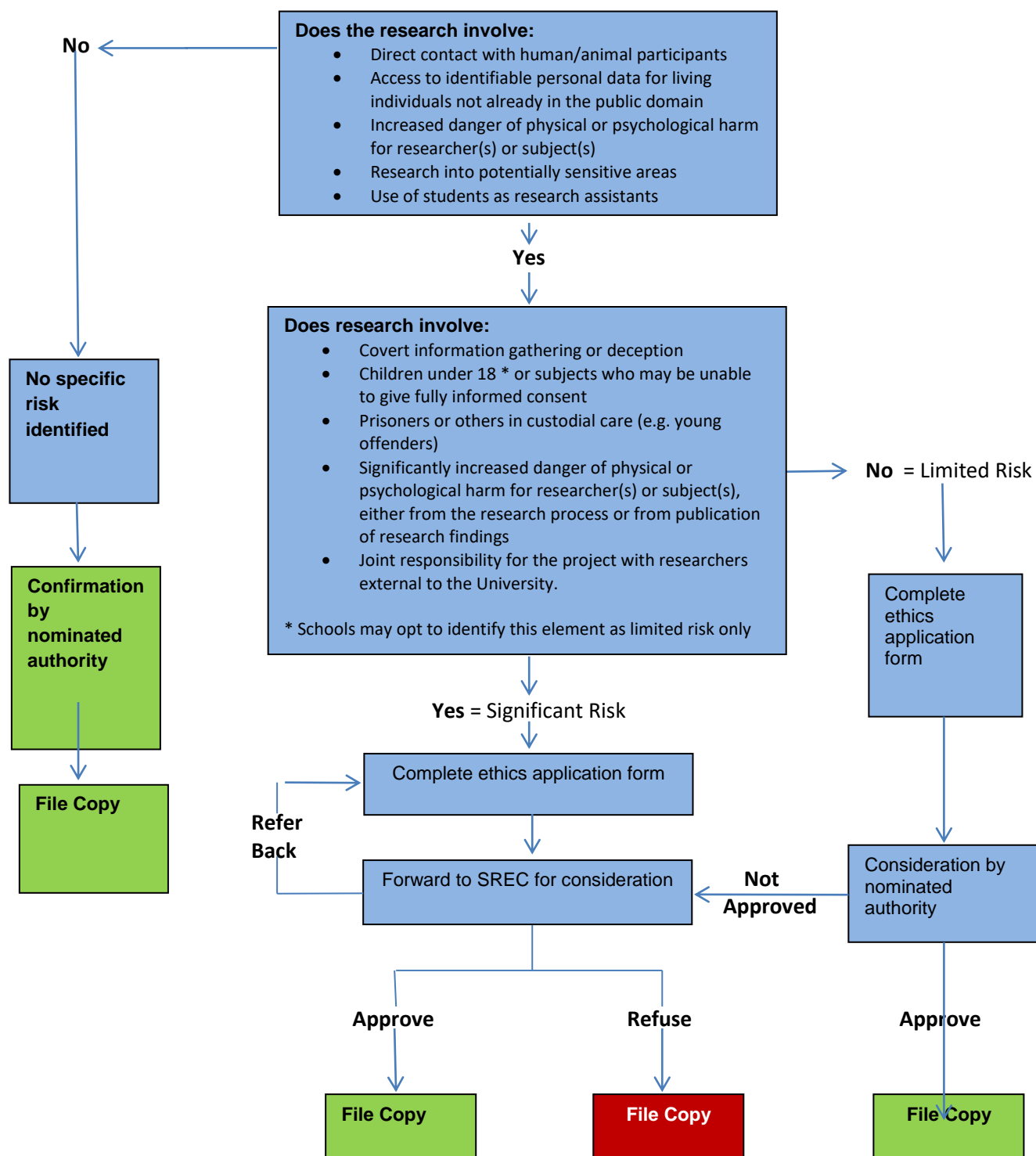
The Pro Vice-Chancellor (Research and Enterprise) is the University's named contact for general matters relating to research integrity. The Deputy Vice-Chancellor is the University's named contact for matters relating to allegations of research misconduct.

Data collection and storage

Data generated in the course of research must be kept securely in paper or electronic format. SRECs should ensure that there are appropriate procedures in place to be assured that data has been correctly stored, archived and destroyed.

Project Proposal

Consideration for research ethics approval requirements



Suggested ethics form where no risk is identified

No Specific Ethics Risk Declaration

Researcher:

Programme and Module (where appropriate):

Research Project Title:

In signing this declaration I am confirming that my proposed project does not involve:

- direct contact with human/animal participants
- access to identifiable personal data for living individuals not already in the public domain
- increased danger of physical or psychological harm for researcher(s) or subject(s)
- research into potentially sensitive areas
- use of students as research assistants
- joint responsibility for the project with researchers external to the University.

My proposed project does not therefore require an ethics review and I have not submitted a Research Ethics Application Form.

If any changes to the project involve any of the criteria above I undertake to resubmit the project for approval.

Signature of Researcher:

Date:

Counter-Signatory:

Role:

In signing this Declaration I confirm that I have reviewed the proposed project and am satisfied that that it does not involve any specific ethics risk as defined by the School policy.

Counter-Signature:

Date:

THE UNIVERSITY OF HUDDERSFIELD
School

STUDENT PROJECT / DISSERTATION ETHICAL REVIEW

APPLICABLE TO ALL UNDERGRADUATE AND TAUGHT POSTGRADUATE PROGRAMMES

Please complete and return via email to your Project / Dissertation Supervisor along with the required documents (shown below)

SECTION A: TO BE COMPLETED BY THE STUDENT

Before completing this section please refer to the **School Research Ethics web pages which can be found at xxxx**. Students should consult the appropriate ethical guidelines. The student's supervisor is responsible for advising the student on appropriate professional judgement in this review.

Please ensure that the statements in Section C are completed by the student and supervisor prior to submission.

| | |
|--------------------|--|
| Project Title: | |
| Student: | |
| Student number: | |
| Course: | |
| Supervisor: | |
| Project start date | |

SECTION B: PROJECT OUTLINE (TO BE COMPLETED IN FULL BY THE STUDENT)

| | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Issue | Please provide sufficient detail for your supervisor to assess strategies used to address ethical issues in the research proposal |
| Aim / objectives of the study These need to be clearly stated and in accord with the title of the study. (Sensitive subject areas which might involve distress to the participants will be referred to the Course Approval Panel). | |
| Brief overview of research methodology The methodology only needs to be explained in sufficient detail to show the approach used (e.g. survey) and explain the research methods to be used during the study. | |
| Does your study require any permissions for study? If so, please give details | |
| Participants Please outline who will participate in your research. If your research involves vulnerable groups (e.g. children, adults with learning disabilities), it must be referred to the Course Assessment Panel. | |
| Access to participants Please give details about how participants will be identified and contacted. | |
| How will your data be recorded and stored? | |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Confidentiality Please outline the level of confidentiality you will offer respondents and how this will be respected. You should also outline about who will have access to the data and how it will be stored. (This should be included on information sheet.) | |
| Anonymity Do you intend to offer anonymity? If so, please indicate how this will be achieved. | |
| To what extent could the research induce psychological stress or anxiety, cause harm or negative consequences for the participants (beyond the risks encountered in normal life). If more than minimal risk, you should outline what support there will be for participants. | |
| Is the project of a security sensitive nature? Please explain the type of information you intend to gather e.g. websites presenting a risk of contravening the law. | |

SECTION C – SUMMARY OF ETHICAL ISSUES (TO BE COMPLETED BY THE STUDENT)

Please give a summary of the ethical issues and any action that will be taken to address the issue(s).

SECTION D – ADDITIONAL DOCUMENTS CHECKLIST (TO BE COMPLETED BY THE STUDENT)

Please supply to your supervisors copies of all relevant supporting documentation electronically. If this is not available electronically, please provide explanation and supply hard copy

I have included the following documents

| | | |
|--------------------|------------------------------|-----------------------------------------|
| Information sheet | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Consent form | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Questionnaire | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Interview schedule | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |

SECTION E – STATEMENT BY STUDENT

I confirm that the information I have given in this form on ethical issues is correct.

Signature _____

Date: _____

Affirmation by Supervisor

I can confirm that, to the best of my understanding, the information presented by the student is correct and appropriate to allow an informed judgement on whether further ethical approval is required

Signature _____

Date: _____

SECTION F: SUPERVISOR RECOMMENDATION ON THE PROJECT'S ETHICAL STATUS

Having satisfied myself of the accuracy of the project's ethical statement, I believe that the appropriate action is:

| | |
|-----------------------------------------------------------------------------------------------------------------------------------------------|--|
| Approve | |
| Approve subject to recommendations [please specify] | |
| Approve subject to conditions [please specify] | |
| The project proposal needs further assessment by xxx | |
| The project needs to be returned to the student for modification prior to further action (details of required modifications must be provided) | |
| Reject | |

All documentation must be submitted to **xxxx**.

THE UNIVERSITY OF HUDDERSFIELD

School

POSTGRADATE STUDENT / STAFF RESEARCH ETHICAL REVIEW

Please complete and return via email to [xxx](#) along with the required documents (shown below).

SECTION A: TO BE COMPLETED BY THE APPLICANT

Before completing this section please refer to the [School Research Ethics web pages which can be found at xxx](#). Applicants should consult the appropriate ethical guidelines.

Please ensure that the statements in Section C are completed by the applicant (and supervisor for PGR students) prior to submission.

| | |
|-------------------------------|--|
| Project Title | |
| Applicant | |
| Supervisor (where applicable) | |
| Award (where applicable) | |
| Project start date | |

SECTION B: PROJECT OUTLINE (TO BE COMPLETED IN FULL BY THE APPLICANT)

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Issue | Please provide sufficient detail to allow appropriate consideration of any ethical issues. Forms with insufficient detail will need to be resubmitted. |
| Aims and objectives of the study. Please state the aims and objectives of the study. | |
| Brief overview of research methodology The methodology only needs to be explained in sufficient detail to show the approach used (e.g. survey) and explain the research methods to be used during the study. | |
| Does your study require any permissions for study? If so, please give details | |
| Participants Please outline who will participate in your research. Might any of the participants be considered 'vulnerable' (e.g. children) | |
| Access to participants Please give details about how participants will be identified and contacted. | |
| How will your data be recorded and stored? | |
| Informed consent. Please outline how you will obtain informed consent. | |
| Confidentiality Please outline the level of confidentiality you will offer respondents and how this will be respected. You should also outline about who will have access to the data and how it will be stored. (This information should be included on Information | |

| | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| your information sheet.) | |
| Anonymity If you offer your participants anonymity, please indicate how this will be achieved. | |
| Harm Please outline your assessment of the extent to which your research might induce psychological stress, anxiety, cause harm or negative consequences for the participants (beyond the risks encountered in normal life). If more than minimal risk, you should outline what support there will be for participants. If you believe that there is minimal likely harm, please articulate why you believe this to be so. | |
| Is the project of a security sensitive nature? Please explain the type of information you intend to gather e.g. websites presenting a risk of contravening the law. | |

| |
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| Retrospective applications. If your application for Ethics approval is retrospective, please explain why this has arisen. |
|----------------------------------------------------------------------------------------------------------------------------------|

SECTION C – SUMMARY OF ETHICAL ISSUES (TO BE COMPLETED BY THE APPLICANT)

Please give a summary of the ethical issues and any action that will be taken to address the issue(s).

| |
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SECTION D – ADDITIONAL DOCUMENTS CHECKLIST (TO BE COMPLETED BY THE APPLICANT)

Please supply copies of all relevant supporting documentation electronically. If this is not available electronically, please provide explanation and supply hard copy.

I have included the following documents

| | | |
|--------------------|------------------------------|-----------------------------------------|
| Information sheet | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Consent form | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Letters | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Questionnaire | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |
| Interview schedule | Yes <input type="checkbox"/> | Not applicable <input type="checkbox"/> |

SECTION E – STATEMENT BY APPLICANT

I confirm that the information I have given in this form on ethical issues is correct. (Electronic confirmation is sufficient).

Applicant name/signature:

Date:

Affirmation by Supervisor (where applicable)

I can confirm that, to the best of my understanding, the information presented by the applicant is correct and appropriate to allow an informed judgement on whether further ethical approval is required

Supervisor name/signature:

Date:

All documentation must be submitted electronically to XXX.

If you have any queries relating to the completion or consideration of this form, please do not hesitate to contact XXX

THE UNIVERSITY OF HUDDERSFIELD

School

Reviewer Proforma.

| | |
|----------------------------------------|--|
| Project Title: | |
| Name of researcher (s): | |
| Supervisor (where appropriate): | |
| Reviewer name | |

| Issue | Advice / Comments to applicant |
|----------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Aim / objectives of the study | |
| Research methodology | |
| Permissions for study? | |
| Participants | |
| Access to participants | |
| How will your data be recorded and stored? | |
| Confidentiality | |
| Anonymity | |
| Could the research induce psychological stress or anxiety, cause harm or negative consequences for the participants | |

| | |
|----------------------------------------------------------------------------|--|
| (beyond the risks encountered in normal life). | |
| Retrospective applications. | |
| Supporting documents (e.g. questionnaire, interview schedule, letters etc) | |
| Other comments | |

OVERALL RESPONSE

| | |
|-----------------------------------------------------|--|
| APPROVE | |
| APPROVE SUBJECT TO RECOMMENDATIONS [please specify] | |
| APPROVE SUBJECT TO CONDITIONS [please specify] | |
| FURTHER INFORMATION REQUIRED [please specify] | |
| REJECT [please specify reasons] | |

Reviewer name

Date

Please send review to **xxx**

Where the project is deemed to potentially represent a significant risk it should be forwarded to SREC for consideration

Sample Information sheet
(required for submission with application for ethical approval)

University of Huddersfield
School

Participant Information Sheet

Research Project Title: **To be completed**

You are being invited to take part in a research project. Before you decide, it is important for you to understand why this research is being done and what it will involve. Please take time to read the following information and discuss it with others if you wish. Ask if there is anything that is not clear or if you would like more information. May I take this opportunity to thank you for taking time to read this.

What is the purpose of the project?

The research project is intended to provide the research focus for a module which forms part of my degree. It will attempt to **Briefly state the main purpose of your research**

Why have I been chosen?

(provide a brief explanation)

Do I have to take part?

Participation on this study is entirely voluntary, so please do not feel obliged to take part. Refusal will involve no penalty whatsoever and you may withdraw from the study at any stage without giving an explanation to the researcher.

What do I have to do?

You will be invited to take part in (**interview, focus group, questionnaire, group interview**). This should take no more than **.... ?** of your time.

Are there any disadvantages to taking part?

There should be no foreseeable disadvantages to your participation. If you are unhappy or have further questions at any stage in the process, please address your concerns initially to the researcher if this is appropriate. Alternatively, please contact **xxx** at the **School**, University of Huddersfield.

Will all my details be kept confidential?

All information which is collected will be strictly confidential and anonymised before the data is presented in any work, in compliance with the Data Protection Act and ethical research guidelines and principles.

What will happen to the results of the research study?

The results of this research will be written up in **XXX**. If you would like a copy please contact the researcher.

What happens to the data collected?

(provide an explanation as to how the data will be used)

Will I be paid for participating in the research?

(provide a clear statement of payment arrangements for compensation for the participant's time and inconvenience and any out-of-pocket expenses if applicable.)

Where will the research be conducted?

(provide details of the location)

Criminal Records check (if applicable)

Provide a statement declaring that the researcher who may have access to children or vulnerable adults has undergone a satisfactory criminal records check.

Who has reviewed and approved the study, and who can be contacted for further information?

(provide contact details).

Name & Contact Details of Researcher: XXX

Sample Participant Consent Form
(required for submission with application for ethical approval)

University of Huddersfield
School

Participant Consent Form (E4)

Title of Research Study:

Name of Researcher:

Participant Identifier Number:

☐ I confirm that I have read and understood the participant Information sheet related to this research, and have had the opportunity to ask questions.

☐ I understand that my participation is voluntary and that I am free to withdraw at any time without giving any reason.

☐ I understand that all my responses will be anonymised.

☐ I give permission for members of the research team to have access to my anonymised responses.

☐ I agree to take part in the above study

Name of Participant:

Signature of Participant:

Date:

Name of Researcher:

Signature of Researcher:

Date:

**Sample Researcher Consent Form
(required for submission with application for ethical approval)**

**University of Huddersfield
School**

Researcher Consent Form (E5)

This form is to be used when consent is sought from those responsible for an organisation or institution for research to be carried out with participants within that organisation or institution. This may include schools, colleges or youth work facilities.

Title of Research Study:

Name of Researcher:

School/College/organisation:

Describe i) the purpose of the research study
 ii) the data collection methods to be used
 iii) which pupils/groups/classes will be selected for this study.

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I confirm that I give permission for this research to be carried out and that permission from all participants will be gained in line within my organisation's policy.

Name and position of senior manager:

.....

Signature of senior manager:.....

Date:

Name of Researcher:

Signature of Researcher:

Date:

| | | |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p style="text-align: center;">University of Huddersfield</p> <p style="text-align: center;">Notification of Approval of High Risk (Security Sensitive) Research Projects</p> | |
| 1 | School | |
| 2 | Project Title | |
| 3 | Name of Researcher | |
| 4 | Category of Researcher | Delete those that do not apply: <i>Academic staff</i> <i>Post Graduate Student (Research)</i> <i>Post Graduate Student (Taught)</i> <i>Undergraduate Student</i> |
| 5 | Name of Supervisor or Line Manager | |
| 6 | Date of Approval by School Research Ethics Committee | |
| 7 | Indicate where the documentation associated with this SREC approval is stored (weblink ideally) | |
| 8 | Designated overseer for the datastore (must be a member of academic staff not engaged in this particular project; this person has access only to document titles and name of researcher) | Name: University email address: |
| 9 | Start date for the project | |
| 10 | Planned end date for the project | |
| 11 | Date for end of retention of data for the project <i>(Note that for Taught students this will be the degree award date by default)</i> | |

| | | |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 12 | <p>(a) Anticipated volume of data storage required</p> <p>(b) Files types required</p> | |
| 13 | SREC Chair Name | |
| 14 | SREC Chair Signature | |
| | <p>This form must be sent to Deputy Director Research and Enterprise – t.s.turner@hud.ac.uk who will distribute onwards to staff in the following roles at the University:</p> <p>Chair University Research Ethics and Integrity Committee (PVC R&E) Secretary of UREIC (Assistant Registrar Research) Deputy Director Computing and Library Services</p> <p>IT facilities will not be put in place until this form has been acknowledged by UREIC and CLS have evaluated the technical requirements</p> | |

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| <p style="text-align: center;">University of Huddersfield</p> <p style="text-align: center;">IT Facilities Approval for High Risk (Security Sensitive) Research Projects</p> <p>On receipt of approval from Research and Enterprise, Computing and Library staff will evaluate the need for access to specific IT Facilities following discussion with the researcher.</p> <p>The outcome will be recorded in 1. and 2. below.</p> | | |
| 1. | Name of CLS staff member who carried out the evaluation | |
| 2. | Evaluation outcome | Delete the one that does not apply Specific IT Facilities are required Specific IT Facilities are not required |
| <p>If specific IT Facilities are not required the please complete the following:</p> <p>Signature of CLS staff member named in 1. above:</p> <p>Date:</p> <p>and pass the form to the Deputy Director, Computing and Library Services</p> <p>Otherwise continue with the completion of the rest of this form.</p> | | |
| <p>This form is to be used to confirm handover of access to IT Facilities specifically aligned to the guidance provided by Universities UK to provide oversight of security sensitive research: http://www.universitiesuk.ac.uk/policy-and-analysis/reports/Pages/oversight-of-security-sensitive-research-material-in-uk-universities.aspx</p> <p>This form will be completed by Computing and Library staff to confirm that the researcher being granted access to IT facilities provided for the access and storage of materials relating to security sensitive research has been made aware of and understands the conditions associated with access to these facilities.</p> | | |
| 3 | Name of Researcher | |
| 4 | Project Title | |
| 5 | School | |
| 6 | Category of Researcher | Delete those that do not apply: <i>Academic staff</i> |

| | | |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| | | <i>Post Graduate Student (Research)</i> <i>Post Graduate Student (Taught)</i> <i>Undergraduate Student</i> |
| 7 | Date of Approval by School Research Ethics Committee | |
| 8 | Name of Supervisor or Line Manager | Name: University email address: |
| 9 | Designated overseer for the datastore (must be a member of academic staff not engaged in this particular project; this person has access only to document titles and name of researcher) | Name: University email address: |
| 10 | Start date for the project | |
| 11 | Planned end date for the project | |
| 12 | Date for end of retention of data for the project <i>(Note that for Taught students this will be the degree award date by default)</i> | |
| 13 | IT requirements provided Membership of Active Directory SSR security group Access to data storage Disk space provided: Files types to be stored: Other (if yes, please provide details): | Yes/No Yes/No Yes/No |
| 14 | To be completed by Computing and Library Services The IT facilities above, along with guidance on their proper use, have been provided by: Name: Signature: Date: | |
| 15 | To be completed by the Researcher I confirm that I have taken receipt of the IT facilities described above and that I understand, | |

| | |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>and will abide by, the requirements for their proper use.</p> <p>Name:</p> <p>Signature:</p> <p>Date:</p> |
| 16. | <p>The completed form must be sent to Deputy Director Computing and Library Services k.pilicudale@hud.ac.uk who will distribute onwards to staff in the following roles at the University to communicate the outcome:</p> <p>Chair University Research Ethics and Integrity Committee (PVC R&E)</p> <p>Secretary of UREIC (Assistant Registrar Research)</p> <p>Deputy Director Research and Enterprise</p> |